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TO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER NL 031297 DESIGNATED/ELECTED OFFICE (DO/EO/US) TION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE November 1, 2004 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/IB2004/052249 November 3, 2003 TITLE OF INVENTION REWRITABLE OPTICAL DATA STORAGE MEDIUM AND USE OF SUCH A MEDIUM APPLICANT(S) FOR DO/EO/US Liesbeth VAN PIETERSON; Johannes Cornelis Norbertus RIJPERS Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). $\overline{\mathbf{V}}$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🗸 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🗸 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗸 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Certificate; PTO/SB/96; Receipt Confirmation Postcard

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A substitute specification.

Other items or information:

A power of attorney and/or change of address letter.

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U.S. APPLICATIO	ON NO. (if knowr ハイにつ	n, see 37 CFR 1.5) 7 <b>7 5 Q</b>	INTERNATIONAL AI PCT/IB2004/052249	PPLICATION NO.	ATTORNEY'S DOC NL 031297	KET NUMBER	
The follow	wing fees have b		1		CALCULATIONS	PTO USE ONLY	
21.  Basic national fee\$300					\$ 300.00	332 31121	
If International pre	1)-(4)	\$ 200.00					
23. Search Search fee (37 CF Internation International Search All other situations	R 1.445(a)(2)) hall Searching Aut Ch Report prepar	\$ 400.00					
	OTAL OF 21, 2	\$ 900.00					
☐ sequence list	for specification ing or computer 50 for each addit						
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE			
- 100 =	/50 =			x \$250	\$		
Surcharge of \$130 claimed priority da		\$					
CLAIMS	NUME	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	12	- 20 =		x \$ 50	\$ 0.00		
Independent claim	s 1	- 3 =		x \$200	\$ 0.00		
MULTIPLE DEPE	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$		
Analiaan alain	TOTAL OF ABOVE CALCULATIONS =						
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  SUBTOTAL = \$ 900.00							
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Processing fee of claimed priority da		\$					
TOTAL NATIONAL FEE =  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied					\$ 900.00		
Fee for recording to by an appropriate		\$ 40.00					
		\$ 940.00					
					Amount to be refunded:	\$	
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a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
			CFR 1.495 has not been mo	et, a petition to revive	(37 CFR 1.137(a) or (b)	must be filed	
SEND ALL CORRESPONDENCE TO:					erpeel		
Corporate Patent Counsel SIGNAT							
	•	th America Corpora	tion	Michael E. Belk NAME			
P.O. Box 3001							
D-in-199 Man NIV 40540					ON NUMBER		

## 10/577758 (AP17 Rec'd PCT/PTO 28 APR 2006)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

VAN PIETERSON et al.

NL 031297

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

REWRITABLE OPTICAL DATA STORAGE MEDIUM AND USE OF SUCH A MEDIUM

[X] Express Mail Mailing	CERTIFICATE OF EXPRESS MAILING Label No.
	EV746 349 485-US
Date of Deposit:	4-28-06
Service "Express Mail Po	paper and/or fee is being deposited with the United States Postal st Office to Addressee" service under 37 C.F.R. 1.10 on the date ddressed to the Commissioner for Patents, PO Box, 1450, Alexandria,
Jeanne Rusciano_ Typed Name	Signature Lusciano